

FORM 5

(section 17)

**ADMINISTRATOR STATEMENT OF COMPLIANCE –
PLAN REGISTRATION**

WHEN TO USE THIS FORM

An administrator is required by section 13 (c) of the *Pension Benefits Standards Act* (the “Act”) to file a statement with an application for registration of a pension plan that, in the opinion of the administrator, the plan documents comply with the Act and the regulations under the Act. The issuance by the Superintendent of Pensions (the “superintendent”) of a certificate of registration for a pension plan registered under the Act may be made based on this statement. Administrators are reminded that the superintendent has the power to refuse to register any pension plan if, in the opinion of the superintendent, the records filed under section 13 of the Act in relation to the plan do not comply with the Act and the regulations.

I, *[name of administrator]*, the administrator of *[name of pension plan]*, attach an application for registration of a pension plan dated *[mm/dd/yyyy]*, and CERTIFY THAT

1. It is my opinion that the plan documents filed with this statement comply with the Act and the regulations.
2. I acknowledge that the obligation to determine compliance of the plan documents filed with this statement is the responsibility of the administrator, and I declare that I have fulfilled that responsibility and, in making this application, have complied with the Act and the regulations.
3. The following have been established in relation to the plan:
 - (a) a governance policy that meets the requirements of section 42 (1) of the Act;
 - (b) a statement of investment policies and procedures that meets the requirements of section 43 (1) of the Act;
 - (c) a funding policy that meets the requirements of section 44 (a) of the Act.

I declare that the above statements are true to the best of my knowledge and belief, and I make these statements conscientiously believing them to be true.

DATED at, British Columbia, on*[mm/dd/yyyy]*

.....
Signature of administrator

.....
[type or print name]

NOTE: The administration of a pension plan in a manner that does not comply with the Act and the regulations may subject the administrator to an administrative penalty under section 116 of the Act or may be an offence under section 123 of the Act. In addition, an administrator may be subject to a direction for compliance under section 113 of the Act issued by the superintendent relating to, among other matters, the manner of administration of the pension plan.